

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
17 January 2002 (17.01.2002)

PCT

(10) International Publication Number
WO 02/04750 A1

(51) International Patent Classification⁷: **E02F 9/28**

(21) International Application Number: **PCT/US01/18974**

(22) International Filing Date: **13 June 2001 (13.06.2001)**

(25) Filing Language: **English**

(26) Publication Language: **English**

(30) Priority Data:
09/611,380 6 July 2000 (06.07.2000) US

(71) Applicant: **CATERPILLAR INC. [US/US]; 100 N.E. Adams Street, Peoria, IL 61629-6490 (US).**

(72) Inventor: **CORNELIUS, Erwin, D.; 1515 Dover Road, Bloomington, IL 61704 (US).**

(74) Agent: **PENCE, Gordon, O.; 100 N.E. Adams Street, Peoria, IL 61629-6490 (US).**

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW.

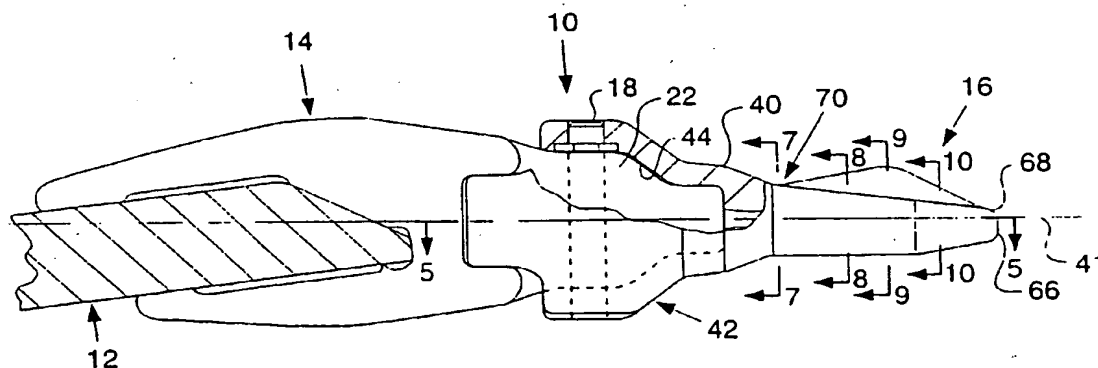
(84) Designated States (*regional*): ARIPO patent (GI, GM, KI, LS, MW, MZ, SD, SI, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published:

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: **TOOTH ASSEMBLY FOR IMPLEMENTS**



(57) Abstract: A tip (16) of a tooth assembly (10) for a ground engaging implement or bucket is constructed with a necked down portion (70) to provide the tip (16) with a reduced cross-section sufficient to cause the tip (16) to fail at the necked down portion (70) when the tip (16) is subjected to a sufficiently large vertical load in order to prevent the breakage of the adapter (14). The tip (16) also has side ears (58, 60) that diverge away from the side surface (36) of their respective ear pocket (26, 27). This construction prevents the distal ends (62) of the ears (26, 27) from contacting the side surfaces (36) when the tip (16) experiences high side or lateral loads. The tip body (40) is also constructed such that its cross-sectional area does not substantially increase between the leading cutting edge (66) and the rearward end portion (42) so as to maintain tip sharpness.

WO 02/04750 A1

DescriptionTOOTH ASSEMBLY FOR IMPLEMENTS5 Technical Field

 This invention relates to a tooth assembly for ground engaging implements, and more particularly to a tooth assembly having a replaceable tip mountable onto an adapter.

10

Background Art

 Many ground engaging implements, such as excavator buckets and the like have a plurality of teeth (or more commonly teeth assemblies) mounted across the base or cutting edge of the bucket to increase the bucket's penetration into the ground. Each tooth assembly includes a replaceable tip, which is mounted onto an adapter. The adapters are typically secured to the bucket's base edge by welding and, therefore, are not readily replaceable. The tips, on the other hand, are typically detachably mounted to the adapter in manner so as to make them easily replaceable, as such tips wear out relatively quickly. Most tips are generally wedge shaped with a sharp leading edge when new, which gives them good penetration ability. However, as the tip wears, it can become increasingly blunt, making penetration difficult and digging less efficient. Also, tips and adapters are sometimes exposed to extremely large loads during use, such as when the bucket teeth are

-2-

used to penetrate rock or to pry out large stones or boulders in the ground. Such extremely large loads can overstress either the tip or adapter. The adapter, while stronger, is susceptible to breaking because the load is applied to the adapter through a longer moment arm than on the tip. Adapter breakage is less desirable than tip breakage because the adapter is more expensive and its replacement is more time consuming. As a consequence, various methods have been employed in the past to aid in the transfer of loads from the tip to the adapter. One such method is disclosed in U. S. Patent 5,386,653 issued Feb. 7, 1995 to Erwin D. Cornelius and assigned to the assignee hereof, wherein the tip is equipped with a pair of opposed ears that are received into slots in the sides of the adapter. Vertical loads are transferred from the tip through the top and bottom edges of the ears, which contact the upper and lower sides of the slots. Tips, however, are exposed to high lateral loads, as well as vertical loads. Due to cocking of the tip, the distal ends of the ears may contact the side surface of the slots in the adapter, whereby such high lateral loads may overstress the ears in a lateral direction, causing them to break as well.

The present invention is directed to overcoming one or more of the problems as set forth above.

Disclosure of the Invention

In one aspect of the present invention, a tooth assembly is provided for a ground engaging implement. The tooth assembly includes an adapter and a
5 replaceable tip. The adapter is carried upon the implement and has a predetermined vertical design load capacity sufficient to withstand a predetermined large vertical load without failing. The adapter also has a forwardly extending tip mounting nose portion. The
10 replaceable tip has an elongated body with a rearward end portion and a forward ground penetrating end portion. The rearward portion has a rearwardly opening socket cavity adapted to receive the mounting nose portion of the adapter and the forward ground
15 penetrating end portion has a leading cutting edge at its distal end. The forward ground penetrating end portion transitions from the mounting end portion to the leading edge in a manner so as to provide a necked down portion adjacent the socket cavity of the
20 rearward end portion. Such necked down portion has a reduced cross-section sufficient to cause the tip to fail at the necked down portion when the tip is subjected to a sufficiently large vertical load, such sufficiently large vertical load being smaller than
25 the predetermined vertical design load capacity of the adapter so that the tip will break before the adapter.

In another aspect of the present invention, the adapter has an ear receiving pocket on each of a pair of opposite sides of the adapter. Each ear
30 receiving pocket has a side surface therein. The

-4-

rearward end portion of the tip has a pair of side ears extending rearwardly from the socket cavity, each ear being adapted for receipt within a respective one of the ear receiving pockets for transferring vertical loads from the ears into the adapter. Each ear has a distal end and an inner surface that is disposed alongside the side surface of its pocket and that extends from the socket cavity to the distal end. Each of the inner surfaces is disposed at a slight angle relative to the mating side surface of adapter ear receiving pocket so as to diverge away from the side surface of the ear pocket to alleviate lateral loads from being exerted on the distal ends of the ears through lateral contact with the adapter.

In still another aspect of the invention, the forward ground penetrating end portion of the tip transitions from the rearward end portion to the leading cutting edge wherein the tip body is constructed such that the cross-sectional area of the tip body does not substantially increase from front to rear so as to maintain tip sharpness as the tip wears.

Brief Description of the Drawings

Fig. 1 a perspective view of a tooth assembly embodying the principles of the present invention;

Fig. 2 is a side elevational view, with portions shown in section, of the tooth assembly depicted in Fig. 1;

-5-

Fig. 3. is a fragmentary perspective view of the adapter of the tooth assembly depicted in Figs. 1 and 2;

Fig. 4. is a rear perspective view of the tip of the tooth assembly depicted in Figs. 1 and 2;

Fig. 5 is a cross-sectional view taken along line 5-5 of Fig. 2;

Fig. 6 is an enlarged fragmentary cross-sectional view of the ear area of area 6 in Fig. 5;

Fig. 7 is a first cross-sectional area of the tip taken along line 7-7 of Fig. 2;

Fig. 8 is a first cross-sectional area of the tip taken along line 8-8 of Fig. 2;

Fig. 9 is a first cross-sectional area of the tip taken along line 9-9 of Fig. 2; and

Fig. 10 is a first cross-sectional area of the tip taken along line 10-10 of Fig. 2.

Best Mode for Carrying Out the Invention

Referring to the drawings, a tooth assembly embodying the principles of the present invention is generally depicted at 10 in Fig. 1. A plurality of such teeth assemblies 10 are typically employed on a ground engaging implement, such as an excavator or loader bucket (not shown) and are mounted in a spaced apart relation across a base or cutting edge, partially shown at 12 in Fig. 2, of such a bucket.

The tooth assembly 10 includes an adapter 14 and a replaceable tip 16, which is detachably secured to the adapter 14 by a pin retainer 18, or other

-6-

similar retaining device known in the ground engaging tool industry. The adapter 14 has a bifurcated rearward mounting end portion 20 and a forwardly extending tip mounting nose portion 22. The

5 bifurcated mounting end portion 20 defines a slot 24 (Fig. 1) for receiving the base edge 12 and is secured to the base edge 12 in any suitable manner, such as by welding or by mounting bolts or the like, not shown. The adapter 14 is designed so as to have a

10 predetermined vertical design load capacity sufficient to withstand a predetermined large vertical load without failing. As best shown in Fig. 3, the adapter 14 also preferably includes a pair of ear receiving pockets 26,27, one of each pair being on a respective

15 one of a pair of opposite sides 28,30 of the adapter 14. Each ear receiving pocket 26,27 has a pair of opposed top and bottom edge surfaces 32,34, which intersect with an inwardly recessed side surface 36. The tip mounting nose portion 22 has a generally

20 vertically oriented retainer hole 38 extending therethrough.

The replaceable tip 16 has an elongated body 40 disposed along a longitudinally extending axis 41 (Fig. 2) and includes a rearward end portion 42 and a

25 forward ground penetrating end portion 43. The rearward end portion 42 has a rearwardly opening socket cavity 44 (Fig. 4) adapted to receive the mounting nose portion 22 of the adapter 14. The socket cavity 44 is formed with a top wall 46, a

30 bottom wall 48 and a pair of opposed side walls 50,52.

-7-

Each of the top and bottom walls 46,48 have one of a pair of retainer openings 54,56, respectively, therethrough. The previously mentioned retainer hole 38 of the adapter 14 is alignable with the retainer openings 54,56 in the socket cavity 44 when the nose portion 22 is received within the socket cavity 44 so as to receive the pin retainer 18 for detachable retaining the tip 16 onto the adapter 14.

The rearward end portion 42 is also provided with a pair of side ears 58,60 extending rearwardly from the socket cavity 44, each ear 58,60 being adapted for receipt within a respective one of the ear receiving pockets 26,27 for transferring vertical loads from the ears 58,60 into the adapter 14. Each ear 58,60 has a distal end 62 and an inner surface 64 disposed alongside the side surface 36 of its respective pocket 26,27. Each inner surface 64 extends from the socket cavity 44 to its distal end 62. As best shown in Fig. 6, each of the side surfaces 64 are disposed at a slight angle relative to its mating side surface 36 of adapter ear pockets 26,27 so as to diverge away from the side surface 36 of their respective ear pocket 26,27 at an angle α of between 1 to 5 degrees of in order to alleviate lateral loads from being exerted on the distal ends 62 of the ears 58,60 through lateral contact with the adapter 14.

The forward ground penetrating end portion 43 has a leading cutting edge 66 at its distal end 68. The forward ground penetrating end portion 43

-8-

transitions from the rearward end portion 42 to the leading edge 66 in a manner so as to provide a necked down portion 70 adjacent the socket cavity 44 of the rearward end portion 42. The necked down portion 70 is provided with a reduced cross-section sufficient to cause the tip 16 to fail at the necked down portion 70 when the tip 16 is subjected to a sufficiently large vertical load. Such sufficiently large vertical load is designed to be smaller than the predetermined vertical design load capacity of the adapter 14 so that the tip 16 will break before the adapter 14.

The tip body 40 is constructed such that the cross-sectional area of the tip body 40 does not substantially increase between the leading cutting edge and the rearward end portion so as to maintain tip sharpness as the tip wears. In this regard, the forward ground penetrating end portion 43 is provided with a longitudinally extending, triangularly shaped central rib 72 on the upper surface 74 thereof and with a lower surface 76 with a concave V-shaped groove 78 extending longitudinally therealong. The forward ground penetrating end portion 43 also has opposite side edges 80, 82 which, as viewed from the top as shown in Fig. 5, flare outwardly from the necked down portion 70 to its widest part, which is from lines 9-9 to 10-10 in Fig. 2, before narrowing again at the leading edge 66. The above features provide the forward ground penetrating end portion 43 with various cross-sectional shapes as depicted in Figs. 7-10, wherein the width of the forward ground penetrating

-9-

end portion 43 is at widest at the sections in Fig. 9 and 10, but narrows as it progresses rearward to the necked down portion 70 shown in Fig. 7. The forward ground penetrating end portion 43 is its thinnest at the leading edge 66, but such thickness increases rapidly to its highest, as shown in Fig. 9, due to the triangularly shaped rib 72 before falling off again to a much more lower height at the necked down portion 70 in Fig. 7. As depicted in the drawings, section 7-7 has a width W_1 and a thickness T_1 , while section 8-8 has a W_2 and thickness T_2 , section 9-9 has a width W_3 and thickness T_3 and section 10-10 has a width W_4 and thickness T_4 , wherein $W_1 < W_2$; $W_2 < W_3$; and $W_3 = W_4$, and wherein $T_1 < T_2$; $T_2 < T_3$; and $T_3 > T_4$. It has been found that this transitional configuration, along and in conjunction with the V-shaped bottom, greatly contribute to the tip 16 maintaining its sharpness as it wears, rather than becoming blunt as found in prior tips.

Industrial Applicability

The construction of the present tooth assembly 10 advantageously alleviates the problems of prior teeth assemblies. In particular, the adapter 14 is designed so as to have a predetermined vertical design load capacity sufficient to withstand a predetermined large vertical load without failing. This is combined with a construction of the tip 16 with the necked down portion 70, which provides the tip with a reduced cross-section sufficient to cause

-10-

the tip 16 to fail at the necked down portion 70 when the tip 16 is subjected to a sufficiently large vertical load. Such sufficiently large vertical load is designed to be smaller than the predetermined
5 vertical design load capacity of the adapter 14 so that the tip 16 will break before the adapter 14, thus preventing breakage of the more costly adapter 14.

The side ears 58,60 of the tip 16 each have side surfaces 64 that are disposed at a slight angle
10 relative to their mating side surfaces 36 of the adapter ear pockets 26,27 so as to diverge away from the side surface 36 of their respective ear pocket 26,27. This construction prevents the distal ends 68 of the ears 58,60 from contacting the side surfaces 64
15 when the tip 16 experiences high side or lateral loads in order to alleviate lateral loads from being exerted on the distal ends 62 of the ears 58,60 through lateral contact with the adapter 14. Therefore, such lateral loads on the tip 16 will not result in the
20 bending or breakage of the side ears 58,60 and the resulting loss of their vertical load transferring ability into the adapter 14.

The tip body 40 is constructed such that the cross-sectional area of the tip body 40 does not
25 substantially increase between the leading cutting edge and the rearward end portion so as to maintain tip sharpness as the tip wears.

Other aspects, objects and advantages of this invention can be obtained from a study of the
30 drawings, the disclosure and the appended claims.

-11-

Claims

1. A tooth assembly (10) for a ground engaging implement, comprising:

5 an adapter (14) carried upon said implement and having predetermined vertical design load capacity sufficient to withstand a predetermined large vertical load without failing, said adapter (14) having a forwardly extending tip mounting nose portion (22);

10 and

a replaceable tip (16) having an elongated body (40) with a rearward end portion (42) and a forward ground penetrating end portion (43), said rearward portion (42) having a rearwardly opening
15 socket cavity (44) adapted to receive said mounting nose portion (22) of the adapter (14) and said forward ground penetrating end portion (43) having a leading cutting edge (66) at its distal end (62), said forward ground penetrating end portion (43) transitioning from
20 said mounting end portion (43) to said leading edge (66) in a manner so as to provide a necked down portion (70) adjacent said socket cavity of said rearward end portion (42), said necked down portion (70) having a reduced cross-section sufficient to
25 cause the tip to fail at said necked down portion (70) when said tip (16) is subjected to a sufficiently large vertical load, such sufficiently large vertical load being smaller than the predetermined vertical design load capacity of the adapter (14) so that the
30 tip (16) will break before the adapter (14).

-12-

2. The tooth assembly (10) of claim 1 wherein said adapter (14) has an ear receiving pocket (26,27) on each of a pair of opposite sides (28,30) of said adapter (14), each ear receiving pocket (26,27) having a side surface (36) therein, and wherein said rearward end portion (42) of said tip (16) has a pair of side ears (58,60) extending rearwardly from said socket cavity (44), each ear (58,60) being adapted for receipt within a respective one of said ear receiving pockets (26,27) for transferring vertical loads from the ears (58,60) into the adapter (14) and each ear (58,60) having a distal end (62) and an inner surface (64) disposed alongside said side surface (36) of its pocket (26,27) and extending from said socket cavity (44) to said distal end (62), each of said inner surfaces (64) being disposed at a slight angle relative to the mating side surface (36) of adapter ear receiving pocket (26,27) so as to diverge away from said side surface (36) of the ear pocket (26,27) to alleviate lateral loads from being exerted on the distal ends (62) of the ears (58,60) through lateral contact with the adapter (14).

3. The tooth assembly (10) of claim 1, wherein said forward ground penetrating end portion (43) of the tip transitions from said rearward end portion (42) to said leading cutting edge (66) wherein said tip body (40) is constructed such that the cross-sectional area of the tip body (40) does not

-13-

substantially increase from front to rear so as to maintain tip sharpness as the tip wears.

4. The tooth assembly (10) of claim 1,
5 wherein said socket cavity (44) of the tip (16) is formed with a top wall (46) and a bottom wall (48), each of said top and bottom walls (46,48) having one of a pair of retainer openings (54,46) therethrough and wherein said adapter nose portion (22) has a
10 vertically oriented retainer hole (38) therethrough, said retainer hole (38) being alignable with said retainer openings (54,56) in said socket cavity (44) when said nose portion (22) is received within said socket cavity (44) of said tip (16), said tooth
15 assembly (10) further including a pin retainer (18) adapted for receipt within said retainer openings (54,56) of said tip (16) and said retainer hole (38) through said nose portion (22) for detachable retaining said tip (16) onto said adapter (14).

20

5. A tooth assembly (10) for a ground engaging implement, comprising:

an adapter (14) carried upon said implement, said adapter (14) having a forwardly extending tip
25 mounting nose portion (22) and an ear receiving pocket (26,27) on each of a pair of opposite sides (28,30) of said adapter (14), each ear receiving pocket (26,27) having a side surface (36) therein; and

a replaceable tip (16) having an elongated
30 body (40) with a rearward end portion (42) and a

-14-

forward ground penetrating end portion (43), said rearward end portion (42) having a rearwardly opening socket cavity (44) adapted to receive said mounting nose portion (22) of the adapter (14) and a pair of
5 side ears (58,60) extending rearwardly from said socket cavity (44), each ear (58,60) being adapted for receipt within a respective one of said ear receiving pockets (26,27) for transferring vertical loads from the ears (58,60) into the adapter (14) and each ear
10 (58,60) having a distal end (62) and an inner surface (64) disposed alongside said side surface (36) of its respective pocket (26,27) and extending from said socket cavity (44) to said distal end (62), each of said inner surfaces (64) being disposed at a slight
15 angle relative to the mating side surface (36) of adapter ear pocket (26,27) so as to diverge away from said side surface (36) of the ear pocket (26,27) to alleviate lateral loads from being exerted on the distal ends (62) of the ears (58,60) through lateral
20 contact with the adapter (14).

6. The tooth assembly (10) of claim 5 wherein said forward ground penetrating end portion (43) of said tip (16) has a leading cutting edge (66)
25 at its distal end (68) and transitions from said rearward end portion (42) to said leading edge (66) wherein said tip body (40) is constructed such that the cross-sectional area of the tip body does not substantially increase from front to rear so as to
30 maintain tip sharpness as the tip wears.

-15-

7. The tooth assembly (10) of claim 5,
wherein said socket cavity (44) of the tip (16) is
formed with a top wall (46) and a bottom wall (48),
5 each of said top and bottom walls (46,48) having one
of a pair of retainer openings (54,56) therethrough
and wherein said adapter nose portion (22) has a
vertically oriented retainer hole (38) therethrough,
said retainer hole (38) being alignable with said
10 retainer openings (54,56) in said socket cavity (44)
when said nose portion (22) is received within said
socket cavity (44) of said tip (16), said tooth
assembly (10) further including a pin retainer (18)
adapted for receipt within said retainer openings
15 (54,56) of said tip (16) and said retainer hole (38)
through said nose portion (22) for detachable
retaining said tip (16) onto said adapter (14).

8. A tooth assembly (10) for a ground
20 engaging implement, comprising:
an adapter (14) carried upon said implement
and having a forwardly extending tip mounting nose
portion (22) and an ear receiving pocket (26,27) on
each of a pair of opposite sides (28,30) of said
25 adapter (14); and
a replaceable tip (16) having an elongated
body (40) with a rearward end portion (42) and a
forward ground penetrating end portion (43), said
rearward end portion (42) having a rearwardly opening
30 socket cavity (44) adapted to receive said mounting

-16-

nose portion (22) of the adapter (14) and said forward ground penetrating end portion (43) having a leading cutting edge (66) at its distal end (68), said rearward end portion (42) having a pair of side ears (58,60) extending rearwardly from said socket cavity (44), each ear (58,60) being adapted for receipt within a respective one of said ear receiving pockets (26,27) for transferring vertical loads from the ears (58,60) into the adapter (14), and said forward ground penetrating end portion (43) transitioning from said rearward end portion (42) to said leading cutting edge (66) wherein said tip body (40) is constructed such that the cross-sectional area of the tip body (40) does not substantially increase from front to rear so as to maintain tip sharpness as the tip wears.

9. The tooth assembly (10) of claim 8, wherein said socket cavity (44) of the tip (16) is formed with a top wall (46) and a bottom wall (48), each of said top and bottom walls (46,48) having one of a pair of retainer openings (54,56) therethrough and wherein said adapter nose portion (22) has a vertically oriented retainer hole (38) therethrough, said retainer hole (38) being alignable with said retainer openings (54,56) in said socket cavity (44) when said nose portion (22) is received within said socket cavity (44) of said tip (16), said tooth assembly (10) further including a pin retainer (18) adapted for receipt within said retainer openings (54,56) of said tip (16) and said retainer hole (38)

-17-

through said nose portion (22) for detachable retaining said tip (16) onto said adapter (14).

10. A tooth assembly (10) for a ground
5 engaging implement, comprising:

an adapter (14) carried upon said implement and having predetermined vertical design load capacity sufficient to withstand a predetermined large vertical load without failing, said adapter (14) including a
10 forwardly extending tip mounting nose portion (22) and an ear receiving pocket (26,27) on each of a pair of opposite sides (28,30) of said adapter (14), each ear receiving pocket (26,27) having a side surface (36) therein; and

15 a replaceable tip (16) having a rearward end portion (42) and a forward ground penetrating end portion (43), said rearward portion (42) having a rearwardly opening socket cavity (44) adapted to receive said mounting nose portion (22) of the adapter
20 (14) and said forward ground penetrating end portion (43) having a leading cutting edge (66) at its distal end (68), said forward ground penetrating end portion (43) transitioning from said rearward end portion (42) to said leading edge (66) in a manner so as to provide
25 a necked down portion (70) adjacent said socket cavity (44) of said rearward end portion (42), said necked down portion (70) having a reduced cross-section sufficient to cause the tip (16) to fail at said necked down portion (70) when said tip (16) is
30 subjected to a sufficiently large vertical load, such

-18-

sufficiently large vertical load being smaller than the predetermined vertical design load capacity of the adapter (14) so that the tip (16) will break before the adapter (14), and said rearward end portion (42) having a pair of side ears (58,60) extending rearwardly from said socket cavity (44), each ear (58,60) being adapted for receipt within a respective one of said ear receiving pockets (26,27) for transferring vertical loads from the ears into the adapter (14) and each ear (58,60) having a distal end (62) and an inner surface (64) disposed alongside said side surface (36) of its respective pocket (26,27) and extending from said socket cavity (44) to said distal end (62), each of said side surfaces (64) being disposed at a slight angle relative to the mating side surface (36) of adapter ear pocket (26,27) so as to diverge away from said side surface (36) of the ear pocket (26,27) to alleviate lateral loads from being exerted on the distal ends (62) of the ears (58,60) through lateral contact with the adapter (14).

11. The tooth assembly (10) of claim 10, wherein said socket cavity (44) of the tip (16) is formed with a top wall (46) and a bottom wall (48), each of said top and bottom walls (46,48) having one of a pair of retainer openings (54,56) therethrough and wherein said adapter nose portion (22) has a vertically oriented retainer hole (38) therethrough, said retainer hole (38) being alignable with said retainer openings (54,56) in said socket cavity (44)

-19-

when said nose portion (22) is received within said socket cavity (44) of said tip (16), said tooth assembly (10) further including a pin retainer (18) adapted for receipt within said retainer openings (54,56) of said tip (16) and said retainer hole (38) through said nose portion (22) for detachable retaining said tip (16) onto said adapter (14).

12. The tooth assembly (10) of claim 11, wherein said tip body (40) is constructed such that the cross-sectional area of the tip body (40) does not substantially increase between said leading cutting edge (66) and said rearward end portion (42) so as to maintain tip sharpness as the tip wears.

15

13. A replaceable tip (16) adapted for mounting on an adapter (14) of a ground engaging implement, comprising:

an elongated body (40) disposed along a longitudinally extending axis and having a rearward mounting end portion (42) and a forward ground penetrating end portion (43), said rearward portion (42) having a rearwardly opening socket cavity (44) adapted to receive said adapter (14), and said forward ground penetrating end portion (43) having a leading cutting edge (66) at its distal end (68), said forward ground penetrating end portion (43) transitioning from said mounting end portion (42) to said leading edge (66) in a manner so as to provide a necked down portion (70) adjacent said socket cavity (44) of said

-20-

rearward end portion (42), said necked down portion (70) having a reduced cross-section sufficient to cause the tip (16) to fail at said necked down portion (70) when said tip (16) is subjected to a sufficiently large vertical load.

14. The replaceable tip (16) of claim 13, wherein said tip body (40) is constructed such that the cross-sectional area of the tip body (40) does not substantially increase between said leading cutting edge (66) and said rearward end portion (42) so as to maintain tip sharpness as the tip wears.

15. The replaceable tip (16) of claim 14, wherein said socket cavity (44) of the tip (16) is formed with a top wall (46) and a bottom wall (48), each of said top and bottom walls (46,48) having one of a pair of retainer openings (54,56) therethrough and wherein said adapter nose portion (22) has a vertically oriented retainer hole (38) therethrough, said retainer hole (38) being alignable with said retainer openings (54,46) being adapted for alignment with a retainer hole (38) in said adapter (14) and being adapted to receive a pin retainer (18) for detachable retaining said tip (16) onto said adapter (14).

16. The replaceable tip of claim 15, wherein said rearward end portion (42) has a pair of side ears (58,60) extending rearwardly from said socket cavity

-21-

(44), each ear (58,60) having an inner side surface (64), a distal end (62), an upper edge and a lower edge, said edges being adapted for transferring vertical loads from the ears (58,60) into the adapter (14), and each of said inner side surfaces being disposed at a slight angle relative to its mating surface of the adapter (14) so as to diverge away therefrom to alleviate lateral loads from being exerted on the distal ends (62) of the ears (58,60) through lateral contact with the adapter (14).

1/4

Fig. 1

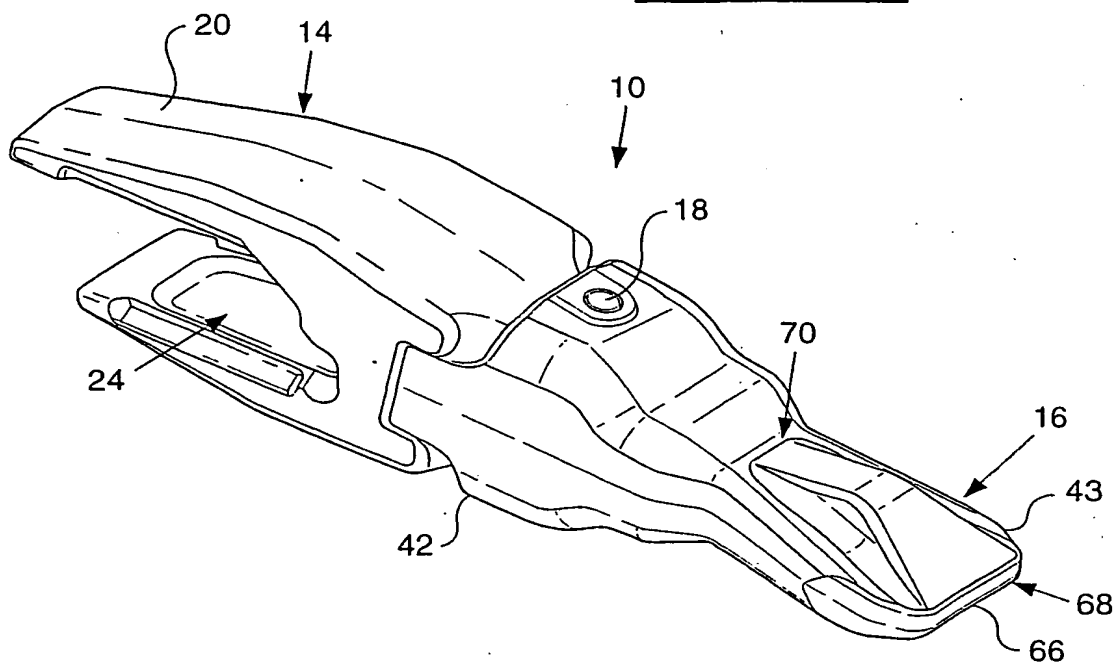
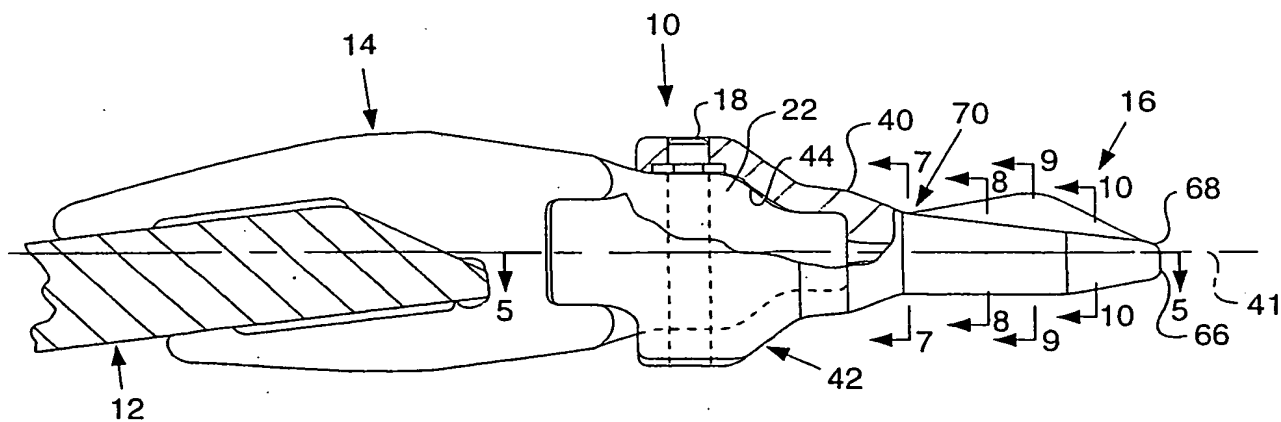


Fig. 2



2/4

FIG. 3.

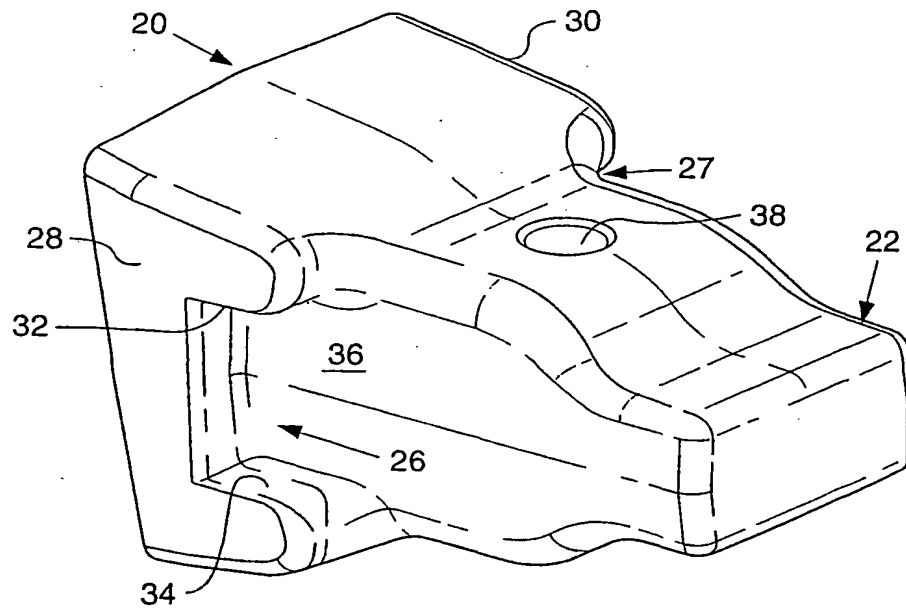
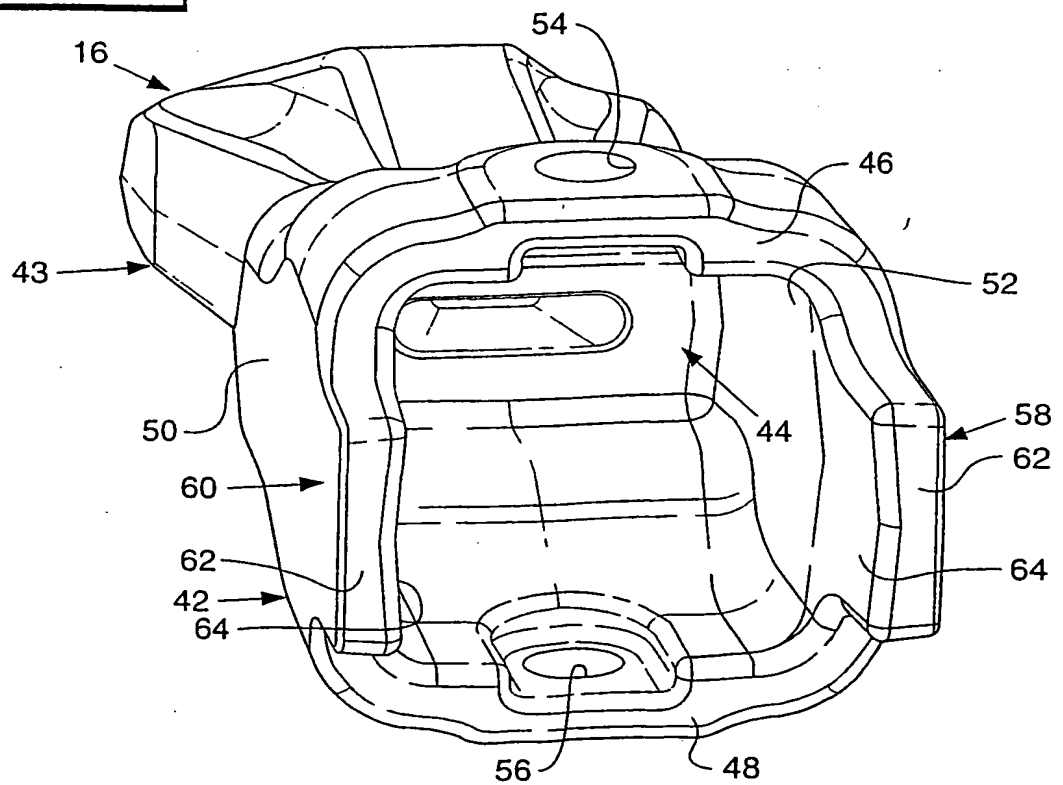


FIG. 4.



3/4

FIG. 5.

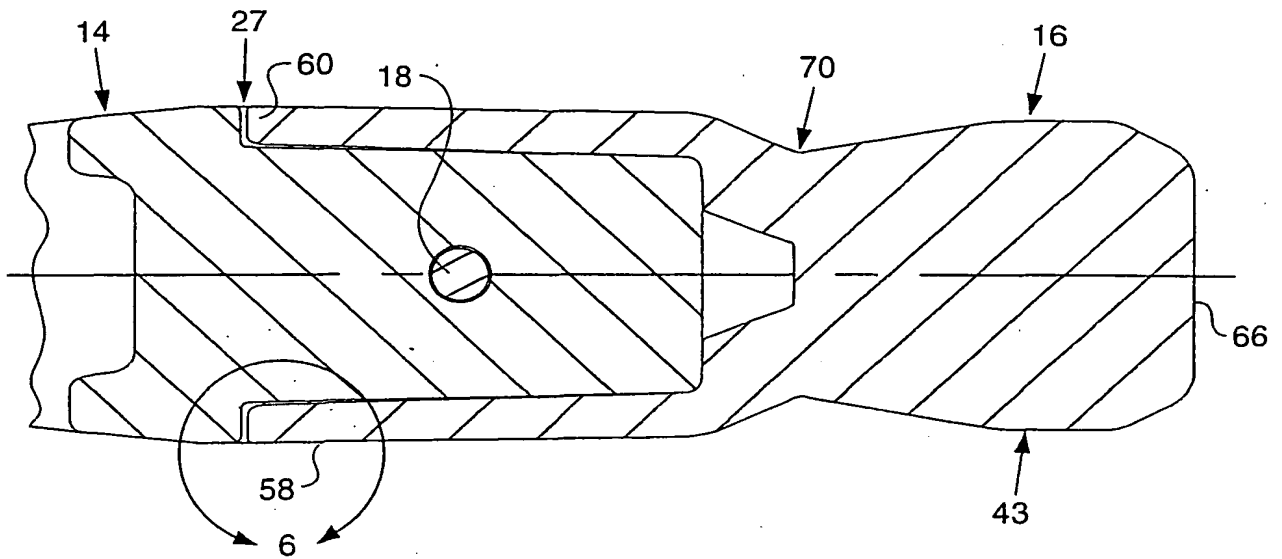
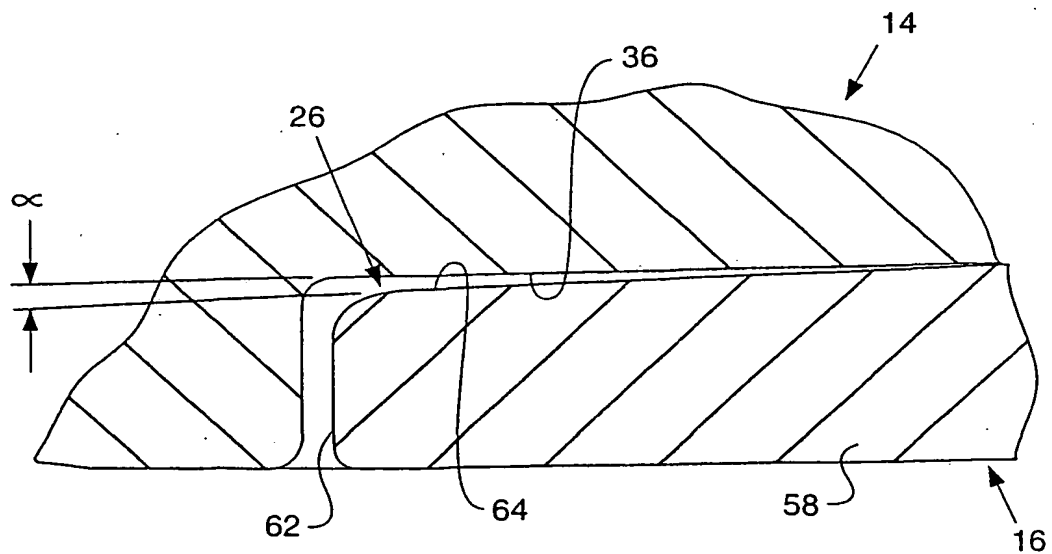


FIG. 6.



4/4

FIG. 7

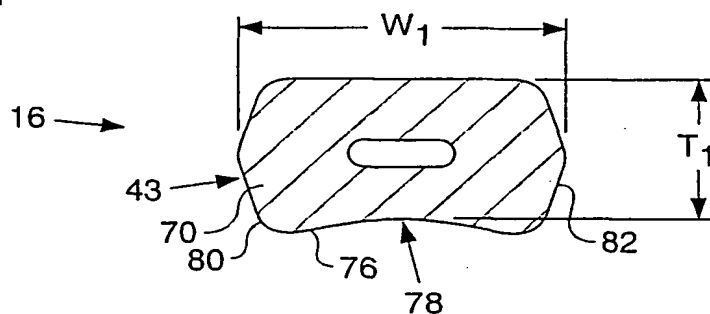


FIG. 8

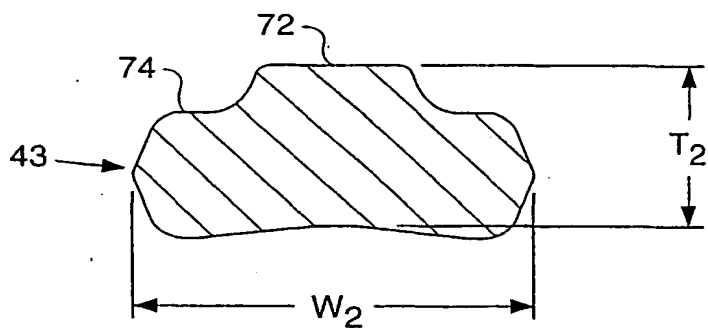


FIG. 9

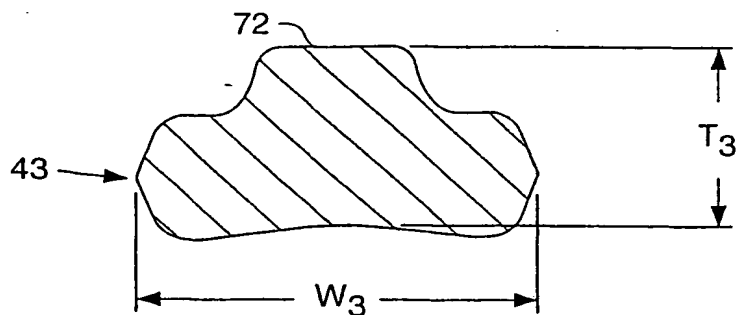
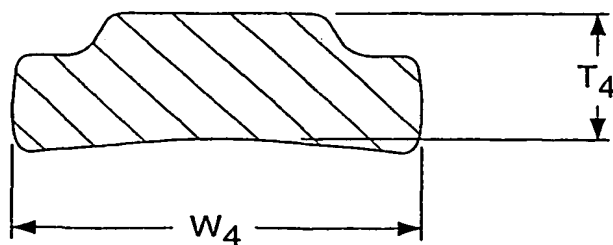


FIG. 10



INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 01/18974

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 E02F9/28

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 E02F A01B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, PAJ

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y A	US 4 360 981 A (BIERWITH STANTON F ET AL) 30 November 1982 (1982-11-30) abstract; figures 1-5,10,11 column 8, line 4 - line 36 ---	1,3,13, 14 6,12
Y	US 3 325 926 A (WILSON WILLIAM W) 20 June 1967 (1967-06-20) figures column 2, line 31 - line 63 column 3, line 3 - line 13 column 3, line 36 - line 43 column 3, line 71 - line 75 --- -/--	1,3,5, 13,14



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

* Special categories of cited documents:

- *A* document defining the general state of the art which is not considered to be of particular relevance
- *C* earlier document but published on or after the international filing date
- *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the international filing date but later than the priority date claimed

- *T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- *Z* document member of the same patent family

Date of the actual completion of the international search

29 November 2001

Date of mailing of the international search report

06/12/2001

Name and mailing address of the ISA

European Patent Office, P.O. 5010 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax: (+31-70) 340-3016

Authorized officer

Guthmuller, J

INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 01/18974

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	PATENT ABSTRACTS OF JAPAN vol. 1998, no. 12, 31 October 1998 (1998-10-31) -& JP 10 183698 A (KOMATSU LTD), 14 July 1998 (1998-07-14) abstract figures ----	1,3,13, 14
Y	JP 50 147102 A (CATERPILLAR TRACTOR CO.) 26 November 1975 (1975-11-26)	5
A	figures ----	2,8,10, 16
A	GB 1 476 822 A (CATERPILLAR TRACTOR CO) 16 June 1977 (1977-06-16) figures ----	2,5,8, 10,16
A	JP 53 089203 A (MITSUBISHI STEEL MFG) 5 August 1978 (1978-08-05) figures ----	2,5,10, 16
A	US 3 149 679 A (JOHNSON FORREST A ET AL) 22 September 1964 (1964-09-22) figures column 2, line 25 -column 3, line 29 ----	1,3,6, 10,12-14
A	US 5 782 019 A (CLENENNING CHARLES ET AL) 21 July 1998 (1998-07-21) figures 2-6 ----	3,6,12, 14
A	US 4 227 744 A (LIVESAY RICHARD E) 14 October 1980 (1980-10-14) figures 2,4-7 ----	3,6,12, 14
A	DE 19 12 098 A (AUFLINGER) 17 September 1970 (1970-09-17) figures page 5 -page 6 -----	2,4,5, 7-11,15, 16

INTERNATIONAL SEARCH REPORT

International Application No.

PCT/JS 01/18974

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
US 4360981	A	30-11-1982	CA 1105524 A1	21-07-1981
			DE 2851442 A1	13-06-1979
			FR 2411276 A1	06-07-1979
			GB 2010777 A ,B	04-07-1979
			IT 1192299 B	31-03-1988
			JP 1392632 C	11-08-1987
			JP 55002154 A	09-01-1980
			JP 61054893 B	25-11-1986
			SU 1342428 A3	30-09-1987
US 3325926	A	20-06-1967	GB 1084684 A	27-09-1967
JP 10183698	A	14-07-1998	NONE	
JP 50147102	A	26-11-1975	US 3897642 A	05-08-1975
			CA 1002751 A1	04-01-1977
GB 1476822	A	16-06-1977	JP 51019303 A	16-02-1976
JP 53089203	A	05-08-1978	JP 1204153 C	25-04-1984
			JP 58036701 B	11-08-1983
US 3149679	A	22-09-1964	NONE	
US 5782019	A	21-07-1998	AU 1157897 A	19-06-1997
			DE 19681668 T0	15-10-1998
			GB 2323614 A ,B	30-09-1998
			WO 9720111 A1	05-06-1997
US 4227744	A	14-10-1980	CA 1100755 A1	12-05-1981
			ZA 7905467 A	24-09-1980
DE 1912098	A	17-09-1970	DE 1912098 A1	17-09-1970

THIS PAGE BLANK (USPTO)